

PTO/SB/01 (07-06)

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**DECLARATION FOR UTILITY OR  
 DESIGN  
 PATENT APPLICATION  
 (37 CFR 1.63)**

☐ Declaration  
 Submitted  
 With Initial  
 Filing

OR

☒ Declaration  
 Submitted after Initial  
 Filing (surcharge  
 (37 CFR 1.16 (e))  
 required)

Attorney Docket Number	11321-P077WOUS
First Named Inventor	RICHARD E. SMALLEY ET AL.
COMPLETE IF KNOWN	
Application Number	10/575,352
Filing Date	OCTOBER 14, 2004
Art Unit	UNKNOWN
Examiner Name	UNKNOWN

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AMPLIFICATION OF CARBON NANOTUBES VIA SEEDED-GROWTH METHODS**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) **October 14, 2004** as United States Application Number or PCT International

Application Number **PCT/US2004/34002** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understood the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified YES	Copy Attached? NO
N/A			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/013 attached hereto.

[Page 1 of 2]

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## DECLARATION — Utility or Design Patent Application

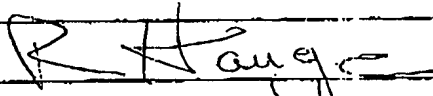

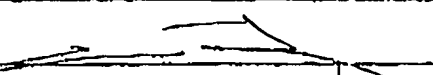
Direct all correspondence to:	<input checked="" type="checkbox"/>	The address associated with Customer Number:	61060	OR	<input type="checkbox"/>	Correspondence address below
Name						
Address						
City			State		ZIP	
Country		Telephone		Email		
<b>WARNING:</b>						
<p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p>						
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>						
<b>NAME OF SOLE OR FIRST INVENTOR:</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))			Family Name or Surname			
Richard E.			Smalkry, Deceased			
Inventor's Signature					Date	
<i>Shane M. Smalkry, Executor of the Estate of Smalkry</i>					<i>8/10/07</i>	
Residence: City	State	Country	Citizenship			
Houston	TX	US	US			
Mailing Address						
1816 Bolsover Street						
City	State	Zip	Country			
Houston	TX	77005	US			
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 4 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.						

PTO/SI 1102A (07-06)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
	Page <u>3</u> of <u>6</u>

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Robert H.		Hauge	
Inventor's Signature 		Date <u>3/12/2007</u>	
Houston Residence: City	TX State	US Country	US Citizenship
4031 Tumbery Circle			
Mailing Address			
Houston City	TX State	77025 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Andrew E. R.		Barron	
Inventor's Signature 		Date <u>2/15/07</u>	
Houston Residence: City	TX State	US Country	US Citizenship
241 Asbury			
Mailing Address			
Houston City	TX State	77007 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
James M.		Tour	
Inventor's Signature 		Date <u>2/15/07</u>	
Bellair Residence: City	TX State	US Country	US Citizenship
4625 Spruce Street			
Mailing Address			
Bellair City	TX State	77401 Zip	US Country

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**DECLARATION****ADDITIONAL INVENTOR(S)**

Supplemental Sheet

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Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Howard K.		Schmidt	
Inventor's Signature <i>Howard K. Schmidt</i>		Date <i>2/7/07</i>	
Cypress Residence: City	TX State	US Country	US Citizenship
20702 Bradford Creek Court			
Mailing Address			
Cypress City	TX State	77433 Zip	US Country
Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
W. Edward		Billups	
Inventor's Signature <i>W. Edward Billups</i>		Date <i>12/20/06</i>	
Houston Residence: City	TX State	US Country	US Citizenship
6416 Rutgers			
Mailing Address			
Houston City	TX State	77005 Zip	US Country
Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Christopher A.		Dyko	
Inventor's Signature <i>[Signature]</i>		Date <i>2-15-2007</i>	
Humble Residence: City	TX State	US Country	US Citizenship
19611 Spoonwood Drive			
Mailing Address			
Humble City	TX State	77346 Zip	US Country

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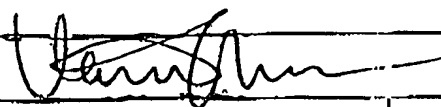

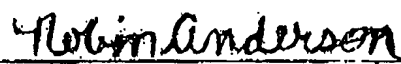
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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
Page <u>5</u> of <u>6</u>	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Valerie C.		Moore	
Inventor's Signature 		Date <u>03-19-2007</u>	
Houston Residence: City	TX State	US Country	US Citizenship
2255 Braeswood Park Dr., No. 139			
Mailing Address			
Houston City	TX State	77030 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Elizabeth		Whitsett	
Inventor's Signature 		Date <u>104/07</u>	
Houston Residence: City	TX State	US Country	US Citizenship
806 LaMonte Lane			
Mailing Address			
Houston City	TX State	77018 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Robin E.		Anderson	
Inventor's Signature 		Date <u>13Apr07</u>	
Toronto Residence: City	Ontario State	CA Country	US Citizenship
100 Gloucester St., Apt. 803			
Mailing Address			
Toronto City	ON State	M4Y1M1 Zip	CA Country

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**DECLARATION****ADDITIONAL INVENTOR(S)**

Supplemental Sheet

Page 6 of 6

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Ramon		Colorado, Jr.	
Inventor's Signature <i>Ramon Colorado, Jr.</i>		Date <i>4/15/07</i>	
Houston Residence: City	TX State	US Country	US Citizenship
5104 Irving Way Mailing Address			
Houston City	TX State	77037-3629 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Michael P.		Stewart	
Inventor's Signature <i>Michael P. Stewart</i>		Date <i>31-Jan-07</i>	
Mountain View Residence: City	CA State	US Country	US Citizenship
1392 N. Rengstorff Ave., Apt. 2 Mailing Address			
Mountain View City	CA State	94043 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Douglas C.		Ogryn	
Inventor's Signature <i>Dough Ogryn</i>		Date <i>3-14-07</i>	
Houston Residence: City	TX State	US Country	US Citizenship
2825 Bellefontaine, Nò. 240A Mailing Address			
Houston City	TX State	77025 Zip	US Country

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